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BOX - SEQUENCE
16 W



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AS FILED Feb. 18, 2004

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February 17, 2004

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Commissioner for Patents
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Art Unit 1644

Re: U.S. Utility Patent Application
Application No. 09/458,302; Filed: December 10, 1999
For: **Inducing Cellular Immune Responses to Carcinoembryonic Antigen
Using Peptide and Nucleic Acid Compositions**
Inventors: FIKES *et al.*
Our Ref: 2060.0080005/HCC/PAC

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Preliminary Amendment Under 37 C.F.R. § 1.115 and Submission Of Substitute Sequence Listing Under 37 C.F.R. § 1.825(a);
2. Paper copy of Substitute Sequence Listing (500 pages);
3. A computer readable copy of the Substitute Sequence Listing; and
4. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents
February 17, 2004
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The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Helene C. Carlson
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HCC/PAC/M-M/lvt
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

FIKES *et al.*

Appl. No.: 09/458,302

Filed: December 10, 1999

For: **Inducing Cellular Immune
Responses to Carcinoembryonic
Antigen Using Peptide and Nucleic
Acid Compositions**

Confirmation No. 8701

Art Unit: 1644

Examiner: Schwadron, R.

Atty. Docket: 2060.0080005/HCC/PAC

**Preliminary Amendment Under 37 C.F.R. § 1.115 and Submission Of
Substitute Sequence Listing Under 37 C.F.R. § 1.825(a)**

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

In advance of prosecution, Applicants submit the following amendments and remarks. This Preliminary Amendment is provided in the following format:

- (A) Each section begins on a separate sheet;
- (B) Starting on a separate sheet, amendments to the specification by presenting replacement paragraphs marked up to show changes made;
- (C) Starting on a separate sheet, a complete listing of all of the claims:
 - in ascending order;
 - with status identifiers; and
 - with markings in the currently amended claims;
- (D) Starting on a separate sheet, the Remarks.

It is not believed that extensions of time or fees for net addition of claims are required beyond those that may otherwise be provided for in documents accompanying this paper. However, if additional extensions of time are necessary to prevent

abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor (including fees for net addition of claims) are hereby authorized to be charged to our Deposit Account No. 19-0036.